

J.P. Morgan Securities LLC
Member FINRA and SIPC

Submit this form, the most recent statement for the account you are transferring, and any additional attachments by mail.

J.P. Morgan Securities LLC
Attn: Transfer - WM
10 South Dearborn St.
Chicago, IL 60603

If you need help, contact our Client Service Center at (800) 392-5749 or submit your question by Secure Message through chase.com.

You can review/modify your dividend and capital gains settings by going online and selecting "Profile & Settings – Dividends and Capital Gains," by contacting us by Secure Message, or by calling the number listed on your account statement.

Select only one.

If you are not sure what type of account you have, you can look at the name of the account on your investment statement.

If your account type is "Other," please call us at (800) 392-5749 before proceeding.

Use this form to

- Transfer assets to J.P. Morgan Securities LLC ("JPMS") from another financial institution. Financial institutions include brokerage firms, trust companies, mutual fund companies, banks, insurance companies, credit unions and transfer agents.

What you need to know

- Account transfer requests submitted online through chase.com are generally completed faster than those submitted using this form. Please note that some types of account transfers cannot be completed through chase.com and require the use of this form.
- For best results, complete this form using Adobe Acrobat Reader and then print a copy for your signature and notarization, if necessary. Notarization is only required for name and registration differences – see Section 4. We must receive the form within 30 days of the signature date or this form will need to be re-submitted.
- Once your securities have been transferred to JPMS, it will take up to 15 business days for cost basis information to be added to your account.
- Attach a complete copy of your most recent account statement – dated within 90 days – for the account you are transferring to JPMS. This request cannot be processed without the account statement.
- For your protection, we may call you at the number on file for your account to confirm this transaction before it is processed. If we encounter any problems or if the financial institution you're transferring from requires additional information, we'll send you a Secure Message.
- If the accounts at JPMS and the financial institution you are transferring from have different account holder names, please call us at (800) 392-5749 for help in completing your request.
- Dividend and capital gain distribution settings (for reinvestment or paid out in cash) may change when the securities are transferred.
- Don't use this form to complete a rollover from your employer-sponsored retirement plan, convert a Traditional IRA to a Roth IRA, or move funds between two JPMS accounts.

1. Tell Us About Your JPMS Account

Account Holder Name(s) (as it appears on the statement)

JPMS Account Number

Primary Account Holder's Social Security or Tax ID Number

Type of Account:

Individual

Joint (Ensure the Joint Account Holder's name is included in the Account Holder Name above and add the Joint Account Holder's Social Security or Tax ID number.)

Joint Account Holder's Social Security or Tax ID Number

Trust (The trust's name should be entered above as the Account Holder Name.)

Traditional IRA

Roth IRA

Beneficiary Traditional IRA

Beneficiary Roth IRA

Money Purchase Pension Plan

Profit Sharing Plan

SEP-IRA

Other (specify)

INVESTMENT AND INSURANCE PRODUCTS ARE:
• NOT A DEPOSIT • NOT FDIC INSURED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY
• NO BANK GUARANTEE • MAY LOSE VALUE

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2. Tell Us About the Non-JPMS Account You're Transferring Assets From

Attach a complete copy of your most recent account statement (dated within 90 days) from the account you're transferring from. This request cannot be processed without the account statement.

If you are transferring several mutual funds and have multiple account numbers, enter "Multiple accounts" where you are asked for the Account Number at right and list each individual mutual fund account number under Option C, "Transfer from a Mutual Fund Company," in Section 3.

Select only one.

If your account type is "Other," please call us at (800) 392-5749 before proceeding.

If the account type selected does not match the JPMS account type, please complete Section 4, "Name and Registration Differences."

If this is a Money Purchase Pension Plan or a Profit Sharing Plan, be sure to complete Section 5 of this form.

Name of the Financial Institution You're Transferring Assets From

Financial Institution Address

City

State

ZIP Code

Account Holder Name(s) (as it appears on the statement)

Account Number of Account You're Transferring From

Primary Account Holder's Social Security or Tax ID Number

If the account holder names on the accounts you're transferring between are not an identical match, or the account types are not the same, please call us at (800) 392-5749 for help in completing your request.

Type of Account:

Individual

Joint (Ensure the Joint Account Holder's name is included in the Account Holder Name above and add the Joint Account Holder's Social Security or Tax ID number.)

Joint Account Holder's Social Security or Tax ID Number

Trust (The trust's name should be entered above as the Account Holder Name.)

Traditional IRA

Roth IRA

Beneficiary Traditional IRA

Beneficiary Roth IRA

Money Purchase Pension Plan

Profit Sharing Plan

SEP-IRA

Other (specify)

Your Name

Your JPMS Account Number

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Complete only one section
(A, B, C, D or E).

3. What Type of Transfer Are You Requesting?

Option A. Full Transfer of All Assets from a Brokerage Firm or Trust Company

- Transfer all assets in my account (including cash).
(Please proceed to Section 4.)

Option B. Partial Transfer of Assets from a Brokerage Firm or Trust Company

- Transfer only the cash and/or securities listed below. For securities, only whole shares may be transferred unless you note that you want "All" shares transferred, which would include fractional shares.

Some proprietary mutual funds and money market funds must be liquidated before the transfer can be completed. We'll contact you if this is necessary.

If you need more room, attach an additional sheet to this form that includes your name, account number and security descriptions – sign and date the additional sheet.

		Enter Dollar Amount or "All"		
CASH				
SECURITIES		Security Description	Symbol/CUSIP	Enter Number of Shares or "All"

Option C. Transfer from a Mutual Fund Company

- Transfer only the mutual funds listed below.

Some proprietary mutual funds and money market funds must be liquidated before the transfer can be completed. We'll contact you if this is necessary.

If you need more room, attach an additional sheet to this form that includes your name, account number and mutual fund descriptions – sign and date the additional sheet.

Mutual Fund Description	Symbol/CUSIP	Mutual Fund Account Number	Enter Number of Shares or "All"

Your Name

Your JPMS Account Number

Option D. Transfer from a Bank/Credit Union (Checking, Savings or CD) or Insurance Company/Annuity

Select only one.

- Transfer all the cash from my account.
- Transfer only the following dollar amount from my account.

 Dollar Amount
- Transfer my CD proceeds at maturity date, which cannot be more than 60 days in the future.

 CD Maturity Date (month/day/year)
- Liquidate my CD and transfer proceeds immediately. I acknowledge that I may incur tax and other penalties for early withdrawal.
- Liquidate my annuity and transfer all the proceeds immediately. I acknowledge that I may incur surrender or other charges, taxes and/or penalties. (JPMS does not hold annuities in brokerage accounts.)
- Liquidate the following dollar amount from my annuity and transfer the proceeds. I acknowledge that I may incur surrender or other charges, taxes and/or penalties. (JPMS does not hold annuities in brokerage accounts.)

 Dollar Amount

Option E. Transfer from a Transfer Agent via the Direct Registration System (DRS)
Important information about the sale of fractional shares.

The sale of fractional shares by a Transfer Agent could result in a taxable distribution to you. Under IRS regulations, rolling over the proceeds from the sale of fractional shares in an IRA into another IRA could prohibit the rollover of other IRA distributions for up to one year. Likewise, an IRA-to-IRA rollover made less than a year ago also could prohibit you from rolling over the sale proceeds. Talk to your own tax or legal advisor about the tax consequences of IRA distributions – or any other brokerage transactions – and contact your Transfer Agent with questions regarding income tax withholding or information reporting.

Select only one.

- Transfer all whole shares in the account and sell fractional shares. The transfer agent will send a check for the redeemed fractional shares directly to you at your address of record. *(Please proceed to Section 4 – do not complete the table below.)*
- Transfer all whole shares in the account and leave fractional shares at the transfer agent. *(Please proceed to Section 4 – do not complete the table below.)*
- Transfer only the security listed below.

Security Description	Symbol/CUSIP	Enter Number of Whole Shares
<input style="width: 100%; height: 100%;" type="text"/>	<input style="width: 100%; height: 100%;" type="text"/>	<input style="width: 100%; height: 100%;" type="text"/>

Your Name

Your JPMS Account Number

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4. Name and Registration Differences

Some registration differences may not be eligible for transfer.

List the Account Holder Names as shown on the statement for the account.

Complete this section if the Account Holder Name(s) and/or Account Type you are transferring do not match your JPMS account (including a transfer between a joint account and an individual account).

I/We authorize the transfer of my/our account listed in Section 2 registered as

Account Holder Name(s)	Account Type

to my/our account at JPMS registered as

Account Holder Name(s) (as shown on the JPMS statement)	Account Type

By signing below, I/we agree to indemnify and hold harmless JPMS, its officers, directors, employees, agents and affiliates from and against any and all losses, claims and financial obligations resulting from JPMS acting on the instructions provided herein.

If you are transferring between a joint account and an individual account, all account holders must sign here.

Primary Account Holder Signature	Date (month/day/year)

Joint Account Holder Signature (if applicable)	Date (month/day/year)

Notary sections must be completed by a notary public. Each signature requires its own notarization. (Two account holder signatures require two notarizations.)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF _____) COUNTY OF _____) Subscribed, sworn to and acknowledged before me by _____ , Name of Document Signer (above) this _____ day of _____ , _____ . Day Month Year _____ Signature of Notary Public	Place Stamp Here) SS:) My commission expires _____
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A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF _____) COUNTY OF _____) Subscribed, sworn to and acknowledged before me by _____ , Name of Document Signer (above) this _____ day of _____ , _____ . Day Month Year _____ Signature of Notary Public	Place Stamp Here) SS:) My commission expires _____
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Your Name	Your JPMS Account Number

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5. Authorization for Transfer of Money Purchase Pension Plan or Profit Sharing Plan Account

This section is only required if you are transferring from a Money Purchase Plan or Profit Sharing Plan Account. Please have the plan trustee complete this section.

As trustee, by signing below, I am authorizing the transfer of the aforementioned Money Purchase Pension Plan or Profit Sharing Plan Account to the J.P. Morgan Securities LLC investment account described above.

Trustee Signature

Date (month/day/year)

6. Authorization

By signing below, I/we agree that I/we have read and are bound by JPMS' terms and conditions regarding the transfer of my assets from another financial institution. If the account is a retirement account, I/we hereby certify that the retirement account is eligible to be transferred to the account I/we have with JPMS.

Further, I/we agree that JPMS does not accept and will not act as custodian of, and for, any assets that are not actually transferred to JPMS. JPMS specifically does not approve any notation on the books and records of any entity including, but not limited to, any mutual fund company that indicates, implies and/or states that JPMS is the custodian of any assets held away from JPMS.

Further, I/we agree that JPMS may restrict the transfer of certain securities at its discretion.

The following assets, the list of which is subject to change at any time, are **not** eligible for transfer into any JPMS accounts, including J.P. Morgan Self-Directed Investing and J.P. Morgan Automated Investing accounts: annuity contracts, futures, notional investments/memo positions (for example, credit default swaps, TBA (to be announced) contracts, time deposits), life insurance and penny stocks.

J.P. Morgan Self-Directed Investing and J.P. Morgan Automated Investing accounts, the following assets, the list of which is subject to change at any time, are also **not** eligible for transfer: cash overdrafts, short security positions, physically held securities considered non-transferable (unpriced, no agent, invalid security identifier), alternative investments (includes any non-publicly traded stock or bond), legal documents/contracts, partnership shares, margin balances and structured notes.

In the event that any ineligible assets are included in my transfer request to JPMS, I/we understand that I/we may not be able to liquidate such assets and/or JPMS may return such assets to me/us.

For transfers into managed accounts, including J.P. Morgan Automated Investing accounts, I/we authorize and direct JPMS to sell or exchange these assets, and rebalance the account. I/we understand that the sale or exchange and rebalancing may generate taxable gains or losses. I/we acknowledge that JPMS may not be able to readily sell certain securities transferred into the account, in which case JPMS reserves the right to hold those securities in the account and disregard the value of those securities in determining whether the account has met the initial and ongoing program minimum account balances.

TO DELIVERING FINANCIAL INSTITUTION: I/We understand that to the extent any assets in my/our account are not readily transferable, with or without penalties, such assets may not be transferred within the time frames required by FINRA Rule 11870 or other designated examining authority. Unless otherwise indicated in Section 3 of this form, I/we authorize you to liquidate any nontransferable proprietary or nonproprietary money market fund assets that are part of my/our account and transfer the resulting credit balance to JPMS. I/We understand that if I/we choose a method of disposition of such assets other than liquidation and transfer, I/we may become liable for payment of taxes and penalties with respect to such assets. I/We also understand that I/we will be notified in writing by the delivering financial institution and/or by JPMS with respect to the disposition of any other assets in my/our account that are nontransferable. I/We authorize you to deduct any outstanding fees due you from the credit balance in my/our account. If my/our account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I/we authorize you to liquidate the assets in my/our account to the extent necessary to satisfy any outstanding fees due you. If certificates or other instruments in my/our account are in your physical possession, I/we instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable JPMS to transfer them in its name for the purpose of sale, when and as directed by me/us. Upon receiving a copy of this transfer instruction, the delivering financial institution will cancel all open orders for my/our account on its books. I/We affirm that I/we have destroyed or returned to you credit/debit cards and/or unused checks issued to me/us, if any, in connection with the account I/we have designated for transfer.

Primary Account Holder Signature

Date (month/day/year)

Joint Account Holder Signature (if applicable)

Date (month/day/year)

Your Name

Your JPMS Account Number

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THIS SECTION IS FOR JPMS USE ONLY

Custodial Acceptance

TO THE PRIOR CUSTODIAN/TRUSTEE: J.P. Morgan Securities LLC hereby accepts its appointment as successor custodian for the IRA, Money Purchase Pension Plan or Profit Sharing Plan account as indicated on this form. The receiving account is maintained under Internal Revenue Code Section 408 or 401, as applicable.

J.P. Morgan Securities LLC Signature (To be signed after the form is submitted by the customer.)

Date (month/day/year)

Your Name

Your JPMS Account Number